

THE SELF-ASSESSMENT QUESTIONNAIRE FOR SUPPLIERS. BMW GROUP EVALUATION OF THE SAQ.

BASED ON THE SAQ 5.0 (SELF-ASSESSMENT QUESTIONNAIRE).

- (1) The Self-Assessment Questionnaire
- (2) Significance of SAQ 5.0 status (BMW Group traffic light)
- (3) Questionnaire process overview
- (4) BMW Group requirements
- (5) Helpful links and contacts



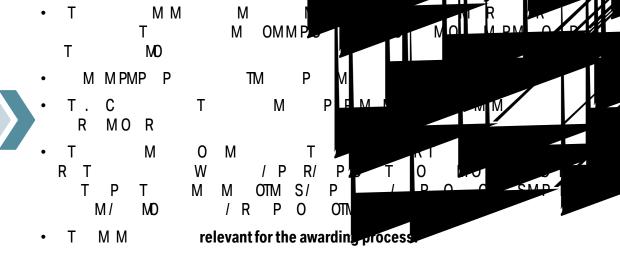
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THE SUSTAINABILITY QUESTIONNAIRE IS A SELF-ASSESSMENT FOR SUPPLIERS AND BASIS FOR THE EVALUATION OF SUSTAINABILITY REQUIREMENTS.



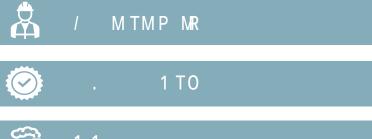
Self-Assessment Questionnaire on CSR/ Sustainability for Automotive Sector Suppliers

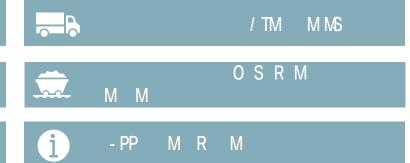


The sustainability questionnaire contains the following topics:









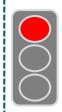
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(5)



THE SUSTAINABILITY STATUS OF A SUPPLIER LOCATION IS BASED ON A BMW GROUP EVALUATION LOGIC OF THE SAO (TRAFFIC LIGHT SYSTEM)

- The BMW Group's evaluation logic of the Self-Assessment Questionnaire (traffic light system) is explained below.
- Please note that for antitrust reasons, OEMs are not allowed to assess the common Self-Assessment Questionnaire uniformly.
- For this reason, the Supplier Assurance* platform only shows an indicative rating for the questionnaire.
- The questions that are decisive for the evaluation logic (traffic light system) are marked accordingly in the online questionnaire on the Supplier Assurance platform.
- All other questions are monitored in the BMW Group systems so that they can also be evaluated.
- The completed questionnaire is finally evaluated by the BMW Group and shown in the supplier database.
- The evaluation resulting from traffic light system is described on the right and is based on BMW Group requirements.



Non-compliance of supplier regarding BMW Group requirements



No due dates agreed with buyer





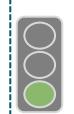
Non-compliance of supplier regarding BMW Group requirements



Due date has been agreed with the buyer and confirmed in the supplier database

→ Nomination permitted with restriction





- Compliance of supplier regarding BMW Group requirements
- No further action need for corrective actions



→ Nomination permitted without restriction

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THE SUPPLIERS HAVE TO REGISTER ON THE SUPPLIER ASSURANCE PLATFOR IN TO FILL OUT THE SAQ AND SHARE IT WITH THE BMW GROUP.

1

A buyer of the BMW Group is requesting a new SAQ via LDB* for a location relevant for the awarding process.



7

The supplier answers the questionnaire on the external Supplier Assurance platform and shares it with the BMW Group.

4

The results of Supplier Assurance are sent to LDB via an interface. The specific BMW Group evaluation of the questionnaire takes place.

The final result with the final traffic light is available only in LDB.



3

Supplier Assurance validates the questionnaire documents and certificates.

The result of the validation is visible on their platform.

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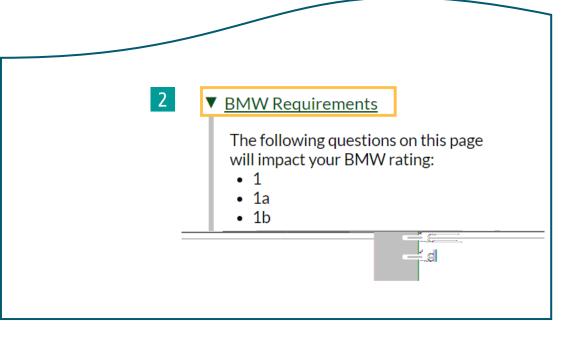


SUSTAINABILITY REQUIREMENTS FOR SUPPLIERS OF THE BMW GROUP. PRESENTATION ON THE SUPPLIER ASSURANCE PLATFORM.



The questions which are relevant for the BMW Group are displayed at the bottom of each of the following pages of

By entering the number of employees for the entire company and categorizing the company's business area as part of the profile questionnaire on the Supplier Assurance platform, the sustainability requirements of the BMW Group are defined.



REQUIREMENTS FOR BMW GROUP SUPPLIERS. SAQ VERSION 5.0 (SLIDE I/IV).

Q. Nr.	Requirements	Employees*	Supplier
A COMPA	A COMPANY MANAGEMENT (GENERAL)		
1a	Management person கண்ணியாள் உறிது கண்ணிய்க	≥ 500	all
1b	Management person responsible for Compliance/Business Ethics	≥ 500	all
1c	Management person responsible for environmental sustainability	≥ 500	production
1d	Person to monitor sustainability risk management (e.g. a Human Rights Officer)	≥ 1000	all
2	Publish CSR-/ sustainability report	≥ 1000	all
3	Code of conduct	≥ 500	all
B HUMAN RIGHTS AND WORKING CONDITIONS			
5	Policy on working conditions and human rights	≥ 50	all
5a	Covered areas by this policy: Non-discrimination and harassment Women's Rights	≥ 50	all
5b		≥ 50	all
C HEALTH AND SAFETY			
7	Health and safety policy	≥ 50	all
8	Certified health and safety management system according to ISO 45001 or similar	≥ 500 (Site)	all

REQUIREMENTS FOR BMW GROUP SUPPLIERS. SAQ VERSION 5.0 (SLIDE II/IV).

Q. Nr.	Requirements	Employees*	Supplier
D BUSING	SSC.FTHUSS.		
9	Policy on business ethics	≥ 50	all
E ENVOIR	E ENVOIRNMENT		
10	Policy on environment	≥ 50	production
10b	Training for your employees on the Policy	≥ 50	production
11	Certified environmental management system (ISO 14001 or comparable)	≥ 50	production
16	Substances with restrictions	≥ 500	production
16a	Written procedures to manage substances with restrictions under any regulations	≥ 500	production
F RESPONSIBLE SUPPLY CHAIN MANAGEMENT			
18	CSR/ sustainability requirements for suppliers	≥ 100	all
18a	 Human rights and working conditions Child labour and young workers Wages and benefits Working hours Modern slaverv (i.e. slaverv. servitude and forced or compulsorv labour and human traffickina) Freedom of association and collective bargaining Non-discrimination and harassment 	≥ 100	all

^{*} Number of employees in the entire company (not at the location) - 12 -

Q. Nr.	Requirements	Employees*	Supplier		
F RESPOI	F RESPONSIBLE SUPPLY CHAIN MANAGEMENT				
18a	Human rights and working conditions Women's Rights Diversity, Equity, and Inclusion Health and safety Health and safety Health and safety Momen's Rights Anti-Corruption and Anti-Money Laundering Data Protection and Data Security Financial responsibility (Accurate Records) Fair competition and anti-trust Export controls and economic sanctions Supply Chain Definition and implementation of similar standards vis-à-vis own Tier 1 suppliers Binding requirements for Tier 1 suppliers to pass on standards along the supply chain	≥ 100	all		
18a	 Human rights and working conditions Rights of Minorities and Indigenous Peoples Land. Forest and Water Rights and Forced Eviction 				

REQUIREMENTS FOR BMW GROUP SUPPLIERS. SAQ VERSION 5.0 (SLIDE IV/IV).

Q. Nr.	Requirements	Employees*	Supplier			
F RESPON	F RESPONSIBLE SUPPLY CHAIN MANAGEMENT					
18a	Environment GHG emissions reporting Energy efficiency Renewable energy Decarbonisation Water quality, consumption & management Air quality Responsible chemical management Sustainable resources management Waste reduction Reuse and recycling Biodiversity, land use and deforestation Soil quality	≥ 1000	all			
18b	Communication channels for CSR/sustainability requirements to provide the communication channels for CSR/sustainability requirements to provide the company website/ Supplier Sustainability Policy Company website/ Supplier portal	≥ 100	all			

Depending on the supplier's business activity and industry, individual questions are not relevant. Accordingly, these questions have no influence on the BMW applies hillow the individual process and individual questions are not relevant. Accordingly, these questions have no influence on the BMW applies hillow the individual questions are not relevant. Accordingly, these questions have no influence on the BMW applies hillow the individual questions are not relevant. Accordingly, these questions have no influence on the BMW applies hillow the individual questions are not relevant. Accordingly, these questions have no influence on the BMW applies hillow the individual questions are not relevant. Accordingly, these questions have no influence on the BMW applies hillow the individual questions are not relevant. Accordingly, these questions have no influence on the BMW applies hillow the individual questions are not relevant. Accordingly, these questions have no influence on the BMW applies hill the individual questions are not relevant. Accordingly, the individual questions are not relevant.

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DO YOU NEED HELP? YOUR CONTACTS AT BMW GROUP AND SUPPLIER ASSURANCE.

If you have any questions regarding **sustainability**for BMW Group suppliers and the **BMW Group-specific assessment** as part of the award process, please contact your BMW Group buyers or the Sustainable Supply Chain Management (SSCM) team.

- General information for suppliers on the Internet: https://www.bmwgroup.com/en/sustainability.html (Heading: Environmental and Social Standards)
- Drive Sustainability SAQ Toolbox for suppliers: https://www.drivesustainability.org/saq-toolbox
- Email: sscm@bmw.de

If you have technical questions about the **questionnaire process**, as well as the **Surplier Assurance Blatform** and for questions regarding **content**, such as definitions of individual requirements, please contact our service provider directly.

- Help and support online: <u>https://supplierassurance.com/help</u>
- Live-Chat und contact form: <u>https://supplierassurance.com/contact</u>
- Phone:

<u>.. 1/5 '911 (42 (43 71/1) fr. (*" 102 7)</u>